# STATEMENT OF ECONOMIC INTERESTS
## Virginia Conflict of Interest and Ethics Advisory Council

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### Instructions

Pursuant to Sections §2.2-3114 and §2.2-3115 of the *Code of Virginia*, employees of state agencies who have been designated by the Governor or the General Assembly, justices of the Supreme Court, judges and substitute judges, members of governing bodies and school boards, local constitutional officers and employees of local governments designated to file by the *Code of Virginia* or by their governing ordinance, are required to file this Statement of Economic Interests form, set forth in §2.2-3117. Members of certain boards of state and local governments are also required to file this form. Please note that within this form, the use of the words "office" and "officer" also apply to appointed board members.

Candidates for state and local offices are required to file this form pursuant to Section 24.2-502 of the *Code of Virginia*.

The filing of the Statement of Economic Interests is a requirement for employment in designated positions. Additionally, the statement is to be filed semiannually by June 15 and December 15.

The information required on this statement must be provided on the basis of the best knowledge, information and belief of the individual filing the statement as of the date of this report unless otherwise stated.

Schedules A through I are to be completed ONLY if you answer "Yes" to any of items 1 through 10 on the Statement of Economic Interests.

State employees and board members should return completed forms to the agency's Conflict of Interests coordinator.

Local employees and board members should return the completed forms to the Clerk of the appropriate governing body.

The Statement of Economic Interests is open for public inspection.

## DEFINITIONS AND EXPLANATORY MATERIAL

**"Business"** means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

**"Close financial association"** means an association in which the person filing shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does **NOT** mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the person filing this statement is no longer employed or (ii) compensation for work performed by the person filing as an independent contractor of a business that represents an entity before any state governmental agency when the person filing has had no communications with the state governmental agency.

**"Contingent liability"** means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

**"Contract"** means any agreement to which a governmental agency is a party, or any agreement on behalf of a governmental agency which involves the payment of money appropriated by the General Assembly or political subdivision, whether or not such agreement is executed in the name of the Commonwealth, or some political subdivision thereof. "Contract" includes a subcontract only when the contract of which it is a part is with the officer's or employee's own governmental agency.

**"Employee"** means all persons employed by a governmental or advisory agency, unless otherwise limited by the context of its use.

**"Financial institution"** means any bank, trust company, savings institution, industrial loan association, consumer finance company, credit union, broker-dealer as defined in subsection A of §13.1-501, or investment company or advisor registered under the federal Investment Advisors Act or Investment Company Act of 1940.

**"Gift"** means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" does not include (i) any offer of a ticket, coupon, or other admission or pass unless the ticket, coupon, admission, or pass is used; (ii) honorary degrees; (iii) any athletic, merit, or need-based scholarship or any other financial aid awarded by a public or private school, institution of higher education, or other educational program pursuant to such school, institution, or program's financial aid standards and procedures applicable to the general public; (iv) a campaign contribution properly received and reporter pursuant to Chapter 9.3 (§ 24.2-945 et seq.) of Title 24.2; (v) any gift related to the private profession or occupation of an officer or employee or of a member of his immediate family; or (vi) gifts from relatives or personal friends.

For the purpose of this definition, **"relative"** means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

**"Personal friend"** does not include any person that the filer knows or has reason to know is (a) lobbyist registered pursuant to Article 3 (§2.2-418 et seq.) of Chapter 4 of Title 2.2; (b) a lobbyist's principal as defined in §2.2-419; (c) for an officer or employee of a local government or advisory agency, a person, organization, or business who is a party to or is seeking to become a party to a contract with the Commonwealth. "Person, organization, or business" includes individuals who are officers, directors, or owners of or who have a controlling ownership interest in such organization or business.

**"Governmental agency"** means each component part of the legislative, executive or judicial branches of state and local government, including each office, department, authority, post, commission, committee, and each institution or board created by law to exercise some regulatory or sovereign power or duty as distinguished from purely advisory powers or duties. Corporations organized or controlled by the Virginia Retirement System are "governmental agencies" for proposes of this chapter.

**"Immediate family"** means (i) a spouse and (ii) any child who resides in the same household as the officer or employee and who is a dependent of the officer or employee.

**"Officer"** means any person appointed or elected to any governmental or advisory agency including local school boards, whether or not he receives compensation or other emolument of office. Unless the context requires otherwise, "officer" includes members of the judiciary.

**"Personal interest"** means a financial benefit or liability accruing to an officer or employee or to a member of his immediate family. Such interest shall exist by reason of (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, $5,000 from ownership in real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to exceed, $5,000 annually; (iv) ownership of real or personal property if the interest exceeds $5,000 in value and excluding ownership in a business, income, or salary, other compensation, fringe benefits or benefits from the use of property; or (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business; or (vi) an option for ownership of a business or real or personal property if the ownership interest will consist of clause (1) or (iv) above.

**"Personal interest in a contract"** means a personal interest which an officer or employee has in a contract with a governmental agency, whether due to his being a party to the contract or due to a personal interest in a business which is a party to the contract.

**"Personal interest in a transaction"** means a personal interest of an officer or employee in any matter considered by his agency. Such personal interest exists when an officer or employee or a member of his immediate family has a personal interest in property or a business, or represents any individual or business and such property, business or represented individual or business (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction. Notwithstanding the above, such personal interest in a transaction shall not be deemed to exist where (a) an elected member of a local governing body serves without remuneration as a member of the board of trustees of a not-for-profit entity and such elected member or member of his immediate family has no personal interest related to the not-for-profit entity or (b) an officer, employee, or elected member of a local governing body is appointed by such local governing body to serve on a governmental agency, or an officer, employee, or elected member of a separate local governmental agency formed by a local governing body is appointed to serve on a governmental agency, and the personal interest in

the transaction of the governmental agency is the result of the salary, other compensation, fringe benefits, or benefits provided by the local governing body or the separate governmental agency to the officer, employee, elected member, or member of his immediate family.

**"State and local government officers and employees"** shall not include members of the General Assembly.

**"Transaction"** means any matter considered by any governmental or advisory agency, whether in a committee, subcommittee, or other entity of that agency or before the agency itself, on which official action is taken or contemplated.

**TRUST.** If you or your immediate family, separately or together, are the only beneficiaries of a trust, treat the trust's assets as if you own them directly. If you or your immediate family has a proportional interest in a trust, treat that proportion of the trust's assets as if you own them directly. For example, if you and your immediate family have a one-third interest in a trust, complete your Statement as if you own one-third of each of the trust's assets. If you or a member of your immediate family created a trust and can revoke it without the beneficiaries' consent, treat its assets as if you own them directly.

**REPORT TO THE BEST OF INFORMATION AND BELIEF.** Information required on this Statement must be provided on the basis of the best knowledge, information, and belief of the individual filing the Statement as of the date of this report unless otherwise stated.

(Rev. 09/2015)

# STATEMENT OF ECONOMIC INTERESTS

Virginia Conflict of Interest and Ethics Advisory Council

| | | |
|---|---|---|
| NAME<br>Allison Silberberg | | Candidate for Election to this office?<br>____YES ✓ NO |
| OFFICE OR POSITION HELD OR SOUGHT Vice Mayor and Mayor-Elect of Alexandria | | |
| AGENCY/BUSINESS NAME | PHONE | |
| AGENCY/BUSINESS ADDRESS<br>1544 Mount Eagle Place | | |
| CITY<br>Alexandria | STATE<br>Virginia | ZIP<br>22302-2120 |
| NAMES OF MEMBERS OF IMMEDIATE FAMILY<br>N/A | | |

**COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.**
Return Schedules only if needed to complete Statement.

You may attach additional explanatory information.

1. **Offices and Directorships.**
Are you or a member of your immediate family a paid officer or paid director of a business? ☐ YES ☑ NO

**If yes, complete Schedule A**

2. **Personal Liabilities.**
Do you or a member of your immediate family owe more than $5,000 to any one creditor including contingent liabilities? (Exclude debts to any government and loans secured by recorded liens on property at least equal in value to the loan.) ☐ YES ☑ NO

**If yes, complete Schedule B**

3. **Securities.**
Do you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of $5,000 invested in one business? Account for mutual funds, limited partnerships and trusts. ☑ YES ☐ NO

**If yes, complete Schedule C**

4. **Payment for Talks, Meetings, and Publications.**
During the past six months did you receive in your capacity as an officer or employee of your agency lodging, transportation, money, or anything else of value with a combined value exceeding $200 (i) for a single talk, meeting, or published work or (ii) for a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency ? ☐ YES ☑ NO

**If yes, complete Schedule D**

5. **Gifts.**
During the past six months did a business, government, or individual other than a relative or personal friend (i) furnish you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded $50 or (ii) furnish you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded $100, and for which you or the member of your immediate family neither paid nor rendered services in exchange? Account for entertainment events only if the average value per person attending the event exceeded $50. Account for all business entertainment (except if related to the private profession or occupation of you or the member of your immediate family who received such business entertainment) even if unrelated to your official duties. ☑ YES ☐ NO

**If yes, complete Schedule E**

6. **Salary and Wages.**
List each employer that pays you or a member of your immediate family salary or wages in excess of $5,000 annually. (Exclude state or local government or advisory agencies.) If no reportable salary or wages, check here ☐

City of Alexandria

7. **Business Interests.**
Do you or a member of your immediate family, separately or together, operate your own business, or own or control an interest in excess of $5,000 in a business? ☐ YES ☑ NO

**If yes, complete Schedule F**

8. **Payments for Representation and Other Services.**

8A. Did you represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agencies, excluding courts or judges, for which you received total compensation during the past six months in excess of $1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-1.) ☐ YES ☑ NO

**If yes, complete Schedule G-1**

8B. Subject to the same exceptions as in 8A, did persons with whom you have a close financial association (partners, associates or others) represent, excluding activity defined as lobbying in § 2.2-419, any businesses before any state governmental agency for which total compensation was received during the past six months in excess of $1,000? (Officers and employees of local governmental and advisory agencies do NOT need to answer this question or complete Schedule G-2.) ☐ YES ☑ NO

**If yes, complete Schedule G-2**

8C. Did you or persons with whom you have a close financial association furnish services to businesses operating in Virginia pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses for which total compensation in excess of $1,000 was received during the past six months? Services reported under this provision shall not include services involving the representation of businesses that are reported under item 8A or 8B. ☐ YES ☑ NO

**If yes, complete Schedule G-3**

9. **Real Estate.**

9A. **State Officers and Employees.**
Do you or a member of your immediate family hold an interest, including a partnership interest, valued at more than $5,000 or more in real property (other than your principal residence) for which you have not already listed the full address on Schedule F? Account for real estate held in trust. ☐ YES ☑ NO

**If yes, complete Schedule H-1**

9B. **Local Officers and Employees.**
Do you or a member of your immediate family hold an interest, including a partnership interest, or option, easement, or land contract, valued at more than $5,000 in real property (other than your principal residence) for which you have not already listed in the full address on Schedule F? Account for real estate held in trust. ☐ YES ☑ NO

**If yes, complete Schedule H-2**

10. **Real Estate Contracts with Governmental Agencies**
Do you or a member of your immediate family hold an interest valued at more than $5,000 in real estate, including a corporate, partnership, or trust interest, option, easement, or land contract, which real estate is the subject of a contract, whether pending or completed within the past six months, with a governmental agency? If the real estate contract provides for the leasing of the property to a governmental agency, do you or a member of your immediate family hold an interest in the real estate valued at more than $1,000? Account for all such contracts whether or not your interest is reported in Schedule F, H-1, or H-2. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership exceeds three percent of the total equity of the business. ☐ YES ☑ NO

**If yes, complete Schedule I**

Statements of Economic Interests are open for public inspection.

**AFFIRMATION BY ALL FILERS.**

I swear or affirm that the foregoing information is full, true and correct to the best of my knowledge.

Allison Fillenberg — December 14, 2015

**Signature** — **Date**

Received 12/15/15 BG

Statement of Economic Interests

# SCHEDULE A

## OFFICES AND DIRECTORSHIPS

**NAME:**

**OFFICE OR POSITION HELD OR SOUGHT:**

Identify each business of which you or a member of your immediate family is a paid officer or paid director.

| NAME OF BUSINESS | ADDRESS OF BUSINESS | POSITION HELD AND BY WHOM |
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Statement of Economic Interests

# SCHEDULE B

## PERSONAL LIABILITIES

**NAME:** _____

**OFFICE OR POSITION HELD OR SOUGHT:** _____

Report personal liability by checking each category. Report only debts in excess of $5,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

**1. My personal debts are as follows:**

| CHECK APPROPRIATE CATEGORIES: | CHECK ONE $5,001 TO $50,000 | MORE THAN $50,000 |
|---|---|---|
| Banks | ☐ | ☐ |
| Savings institutions | ☐ | ☐ |
| Other loan or finance companies | ☐ | ☐ |
| Insurance companies | ☐ | ☐ |
| Stock, commodity or other brokerage companies | ☐ | ☐ |
| Other businesses: (State principal business activity for each creditor and its name.) | | |
| _____ | ☐ | ☐ |
| _____ | ☐ | ☐ |
| Individual creditors: (State principal business or occupation for each creditor and its name.) | | |
| _____ | ☐ | ☐ |

**2. The personal debts of the members of my immediate family are as follows:**

| CHECK APPROPRIATE CATEGORIES: | CHECK ONE $5,001 TO $50,000 | MORE THAN $50,000 |
|---|---|---|
| Banks | ☐ | ☐ |
| Savings institutions | ☐ | ☐ |
| Other loan or finance companies | ☐ | ☐ |
| Insurance companies | ☐ | ☐ |
| Stock, commodity or other brokerage companies | ☐ | ☐ |
| Other businesses: (State principal business activity for each creditor and its name.) | | |
| _____ | ☐ | ☐ |
| _____ | ☐ | ☐ |
| Individual creditors: (State principal business or occupation for each creditor and its name.) | | |
| _____ | ☐ | ☐ |
| _____ | ☐ | ☐ |

Statement of Economic Interests

# SCHEDULE C

SECURITIES

NAME: Allison Silberberg

OFFICE OR POSITION HELD OR SOUGHT: Vice Mayor + Mayor-Elect of Alexandria

**"SECURITIES"** INCLUDES stocks, bonds, mutual funds, limited partnerships, and commodity futures contracts.

**"SECURITIES"** EXCLUDES certificates of deposit, money market funds, annuity contracts, and insurance policies.

Identify each business or Virginia governmental entity in which you or a member of your immediate family, directly or indirectly, separately or together, own securities valued in excess of $5,000. Name each issuer and type of security individually.

Do not list U.S. Bonds or other government securities not issued by the Commonwealth of Virginia or its authorities, agencies, or local governments. Do not list organizations that do not do business in this Commonwealth, but most major businesses conduct business in Virginia. Account for securities held in trust.

If no reportable securities, check here ____.

| NAME OF ISSUER | TYPE OF SECURITY (STOCKS, BONDS, MUTUAL FUNDS, ETC.) | CHECK ONE: 5,001 to 50,000 | 50,001 to 250,000 | More than 250,000 |
|---|---|---|---|---|
| Pfizer | stock | ☑ | ☐ | ☐ |
| Vanguard | mutual fund | ☑ | ☐ | ☐ |
| Vanguard | mutual fund | ☑ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |
| | | ☐ | ☐ | ☐ |

Statement of Economic Interests

# SCHEDULE D

## PAYMENT FOR TALKS, MEETINGS, AND PUBLICATIONS

**NAME:** _____

**OFFICE OR POSITION HELD OR SOUGHT:** _____

List each source from which you received during the past six months in your capacity as an officer or employee of your agency lodging, transportation, money, or any other thing of value with combined value exceeding $200 (i) for your presentation of a single talk, participation in one meeting, or publication of a work or (ii) for your attendance at a meeting, conference, or event where your attendance at the meeting, conference, or event was designed to (a) educate you on issues relevant to your duties as an officer or employee of your agency or (b) enhance your knowledge and skills relative to your duties as an officer or employee of your agency. Any lodging, transportation, money, or other thing of value received by an officer or employee that does not satisfy the provisions of clause (i), (ii) (a), or (ii) (b) shall be listed as a gift on Schedule E..

List payments or reimbursements by an advisory or governmental agency only for meetings or travel outside the Commonwealth.

List a payment even if you donated it to charity.

Do not list information about payment if you returned it within 60 days or if you received it from an employer already listed under
Item 6 or from a source of income listed on Schedule F.

If no payment must be listed, check here ____.

| PAYER | APPROXIMATE VALUE | CIRCUMSTANCES | TYPE OF PAYMENT (e.g. honoraria, travel reimbursement, etc.) |
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Statement of Economic Interests

# SCHEDULE E

GIFTS

NAME: Allison Silberberg

OFFICE OR POSITION HELD OR SOUGHT: Vice Mayor + Mayor-Elect of Alexandria

List each business, governmental entity, or individual that, during the past six months, (i) furnished you or a member of your immediate family with any gift or entertainment at a single event, and the value received exceeded $50, or (ii) furnished you or a member of your immediate family with gifts or entertainment in any combination and the total value received exceeded $100, and for which you or the member of your immediate family neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded $50. Do not list business entertainment related to the private profession or occupation of you or the member of your immediate family who received such business entertainment. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9.3 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

| NAME OF RECIPIENT | NAME OF BUSINESS, ORGANIZATION, OR INDIVIDUAL | CITY OR COUNTY AND STATE | EXACT GIFT OR EVENT | APPROXIMATE VALUE |
|---|---|---|---|---|
| Allison Silberberg | Volunteer Alexandria [redacted] | Alexandria, VA | Business Philanthropy Summit | $35 |
| Allison Silberberg | Campagna Center | " " | Annual Fundraiser | $200 |
| Allison Silberberg | Fund for Alexandria's Child | " " | Event | $85 |
| Allison Silberberg | Senior Services of Alexandria | " " | [redacted] Oktoberfest Event | $50 |
| Allison Silberberg | ACVA (Visit Alexandria) | " " | Event @ Monaco | $35 |
| Allison Silberberg | ACT for Alexandria | " " | Innovation + Philanthropy Summit | $25 |
| Allison Silberberg | Alexandria Chamber of Commerce | " " | Business Awards Event | $115 |
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Statement of Economic Interests

# SCHEDULE F

## BUSINESS INTERESTS

NAME: _____

OFFICE OR POSITION HELD OR SOUGHT: _____

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of $5,000.

If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise give the address of each property. Account for business interests held in trust.

| NAME OF BUSINESS, CORPORATION, PARTNERSHIP, FARM; ADDRESS OF RENTAL PROPERTY | CITY OR COUNTY AND STATE | NATURE OF ENTERPRISE (FARMING, LAW, RENTAL PROPERTY, ETC.) | GROSS INCOME | | |
|---|---|---|---|---|---|
| | | | 50,000 or less | 50,001 to 250,000 | More than 250,000 |
| | | | ☐ | ☐ | ☐ |
| | | | ☐ | ☐ | ☐ |
| | | | ☐ | ☐ | ☐ |
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| | | | ☐ | ☐ | ☐ |

Statement of Economic Interests

# SCHEDULE G-1

## PAYMENTS FOR REPRESENTATION BY YOU

**NAME:** _____

**OFFICE OR POSITION HELD OR SOUGHT:** _____

List the businesses you represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, for which you received total compensation during the past six months in excess of $1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Only STATE officers and employees should complete this Schedule.

| NAME OF BUSINESS | TYPE OF BUSINESS | PURPOSE OF REPRESENTATION | NAME OF AGENCY | AMOUNT RECEIVED | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | | $1,001 To $10,000 | $10,001 To $50,000 | $50,001 To $100,000 | $100,001 To $250,000 | $250,001 And Over |
| | | | | ☐ | ☐ | ☐ | ☐ | ☐ |
| | | | | ☐ | ☐ | ☐ | ☐ | ☐ |
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| | | | | ☐ | ☐ | ☐ | ☐ | ☐ |
| | | | | ☐ | ☐ | ☐ | ☐ | ☐ |

If you have received $250,001 or more from a single business within the reporting period, indicate the amount received, rounded to the nearest $10,000. Amount Received: _____.

Statement of Economic Interests

# SCHEDULE G-2

## PAYMENTS FOR REPRESENTATION BY ASSOCIATES

**NAME:**

**OFFICE OR POSITION HELD OR SOUGHT:**

List the business(es) that have been represented, excluding activity defined as lobbying in § 2.2-419, before any state governmental agency, excluding any court or judge, by persons who are your partners, associates or others with whom you have a close financial association and who received total compensation in excess of $1,000 for such representation during the past six months, excluding representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by your partners, associates or others with whom you have a close financial association.

Identify such business by type and also name the state governmental agencies before which such person appeared on behalf of such businesses.

Only STATE officers and employees should complete this Schedule.

| TYPE OF BUSINESS | NAME OF STATE GOVERNMENTAL AGENCY |
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Statement of Economic Interests

# SCHEDULE G-3

## PAYMENTS FOR OTHER SERVICES GENERALLY

**NAME:** _____

**OFFICE OR POSITION HELD OR SOUGHT:** _____

Indicate below types of businesses that operate in Virginia to which services were furnished by you or persons with whom you have a close financial association pursuant to an agreement between you and such businesses, or between persons with whom you have a close financial association and such businesses and for which total compensation in excess of $1,000 was received during the past six months. Services reported in this Schedule shall not include services involving the representation of businesses that are reported in Schedule G-1 or G-2.

Identify opposite each category of businesses listed below (i) the type of business, (ii) the type of service rendered and (iii) the value by dollar category of the compensation received for all businesses falling within each category.

| BUSINESS CATEGORY | CHECK IF SERVICES WERE RENDERED | TYPE OF SERVICE RENDERED | VALUE OF COMPENSATION | | | | |
|---|---|---|---|---|---|---|---|
| | | | $1,001 TO $10,000 | $10,001 TO $50,000 | $50,001 TO $100,000 | $100,001 TO $250,000 | $250,001 AND OVER |
| Electric Utilities | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Gas Utilities | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Telephone Utilities | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Water Utilities | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Cable Television Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Interstate Transportation Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Intrastate Transportation Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Oil or Gas Retail Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Banks | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Savings Institutions | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Loan or Finance Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Manufacturing Companies (state type of product, e.g., textile, furniture, etc.) | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Mining Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Life Insurance Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Casualty Insurance Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Other Insurance Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Retail Companies | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Beer, Wine or Liquor Companies or Distributors | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Trade Associations | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Professional Associations | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Associations of Public Employees or Officials | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Counties, Cities or Towns | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Labor Organizations | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |
| Other | ☐ | | ☐ | ☐ | ☐ | ☐ | ☐ |

Statement of Economic Interests

# SCHEDULE H-1

REAL ESTATE—STATE OFFICERS AND EMPLOYEES ONLY

NAME:

OFFICE OR POSITION HELD OR SOUGHT:

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at more than $5,000. Each parcel shall be listed individually.

| LIST EACH LOCATION (STATE, AND COUNTY OR CITY) WHERE YOU OWN REAL ESTATE. | DESCRIBE THE TYPE OF REAL ESTATE YOU OWN IN EACH LOCATION (BUSINESS, RECREATIONAL, APARTMENT, COMMERCIAL, OPEN LAND, ETC.). | IF THE REAL ESTATE IS OWNED OR RECORDED IN A NAME OTHER THAN YOUR OWN, LIST THAT NAME. |
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Statement of Economic Interests

# SCHEDULE H-2

REAL ESTATE—LOCAL OFFICERS AND EMPLOYEES ONLY

NAME: _____

OFFICE OR POSITION HELD OR SOUGHT: _____

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest or option, easement, or land contract, valued at more than $5,000. Each parcel shall be listed individually. Also list the names of any co-owners of such property, if applicable.

| LIST EACH LOCATION (STATE, AND COUNTY OR CITY) WHERE YOU OWN REAL ESTATE | DESCRIBE THE TYPE OF REAL ESTATE YOU OWN IN EACH LOCATION (BUSINESS, RECREATIONAL, APARTMENT, COMMERCIAL, OPEN LAND, ETC.) | IF THE REAL ESTATE IS OWNED OR RECORDED IN A NAME OTHER THAN YOUR OWN, LIST THAT NAME. | LIST THE NAMES OF ANY CO-OWNERS, IF APPLICABLE |
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Statement of Economic Interests

# SCHEDULE I

REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES

NAME: _____

OFFICE OR POSITION HELD OR SOUGHT: _____

List all contracts, whether pending or completed within the past six months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at more than $10,000. List all contracts with a governmental agency for the lease of real estate in which you or a member of your immediate family holds such an interest valued at more than $1,000. This requirement to disclose an interest in a lease does not apply to an interest derived through an ownership interest in a business unless the ownership interest exceeds three percent of the total equity of the business.

**State officers and employees report contracts with state agencies.**

**Local officers and employees report contracts with local agencies.**

List your real estate interest and the person or entity, including the type of entity, which is party to the contract. Describe any management role and the percentage ownership interest you or your immediate family member has in the real estate or entity.

_____

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List each governmental agency which is party to the contract and indicate the county or city where the real estate is located.

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State the annual income from the contract, and the amount, if any, of income you or any immediate family member derives annually from the contract.

_____

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## ATTACHMENTS